

EMPLOYMENT AND TRAINING ADMINISTRATION ADVISORY SYSTEM U.S. DEPARTMENT OF LABOR Washington, D. C. 20210	CLASSIFICATION
	OWS
	CORRESPONDENCE SYMBOL
	OWS DUIO
RESCISSIONS	ISSUE DATE
	February 11, 2004
None	EXPIRATION DATE
	February 28, 2005

ADVISORY : UNEMPLOYMENT INSURANCE PROGRAM LETTER NO. 13-04

TO : STATE WORKFORCE AGENCIES

**FROM : CHERYL ATKINSON s/s
 Administrator
 Office of Workforce Security**

SUBJECT : ETA-227 Report, Overpayment Detection and Recovery Activities

1. **Purpose.** To announce the extension of the form ETA-227 for reporting benefit payment control activities.
2. **Reference.** ET Handbook [No. 401, 3rd Edition.](#)
3. **Background** Change 3 to ET Handbook No. 401 provided modifications to the reporting requirements for the ETA-227 Report. The Office of Management and Budget (OMB) authorized the changes through November 30, 2003.
4. **OMB Approval** Continued reporting of these requirements has been approved by OMB under the Paperwork Reduction Act of 1995, OMB Approval No. 1205-0173, expiration date of January 31, 2007. Persons are not required to respond to this collection of information unless it displays a current valid OMB control number. Respondents' obligation to reply to this reporting requirement is mandatory under the Social Security Act, Section 303(a)(6). Public reporting burden for this collection of information is estimated to average 14 hours per response, including gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Workforce Security, Room S-4231, 200 Constitution Avenue, NW, Washington, DC 20210 (Paperwork Reduction Project 1205-0173).
5. **Action Required.** State Administrators are requested to provide the above information to appropriate staff.
6. **Inquiries.** Direct all inquiries to the appropriate regional office.
7. **Attachment.** [Facsimile of Form ETA-227 with current expiration date.](#)

UI REPORTS HANDBOOK NO. 401

ETA 227 Overpayment Detection and Recovery Activities

A. Facsimile of Form

ETA 227 - OVERPAYMENT DETECTION AND RECOVERY ACTIVITIES

STATE:		REPORT FOR PERIOD ENDING:			OMB Approval No. 1205-0173 Expires: 01/31/2007	
A. OVERPAYMENTS ESTABLISHED — CAUSES						
Cause	Line No.	No. Schemes	Number of Cases		Dollar Amounts	
			UI	UCFE/UCX	UI	UCFE/UCX
		(1)	(2)	(3)	(4)	(5)
Fraud — Total	101					
Multi Claimant Schemes	102					
Nonfraud — Total	103					
Reversals	104					
State Agency Errors	105					
Employer Errors	106					
Claimant Errors	107					
Other	108					
Penalty	109					
Total — Fraud, Nonfraud, and Penalty	110					

B. OVERPAYMENTS ESTABLISHED — METHODS OF DETECTION						
Method	Line No.	No. Cases Inv	Fraud		Nonfraud	
			No. Cases	Dollars	No. Cases	Dollars
		(6)	(7)	(8)	(9)	(10)
Controllable — Total	201					
Wage/Benefit Crossmatch	202					
IB Crossmatch	203					
New Hires Systems	204					
Multi-Claimant Scheme Systems	205					
Special Project	206					
Other	207					
Noncontrollable — Total	208					
Total - Controllable and Noncontrollable	209					

C. RECOVERY/ RECONCILIATION						
Item	Line No.	Dollar Amount				
		Fraud		Nonfraud		
		UI	UCFE/UCX	UI	UCFE/UCX	
		(11)	(12)	(13)	(14)	
Outstanding at Beginning of Period	301					
Recovered - Total	302					
Cash	303					
Benefit Offset	304					
State Income Tax Offset	305					
By Other States	306					
Other	307					
Waived	308					
Written-Off	309					
Additions	310					
Subtractions	311					
Receivables Removed at End of Period	312					

Outstanding at End of Period	313				
Recovered for Other States	321				

D. CRIMINAL/CIVIL ACTIONS				
Item	Line No.	State/Local Courts		Federal (OIG)
		UI	UCFE/UCX	
		(15)	(16)	
Number Fraud Cases Pending Prosecution at Beginning of Period	401			
Number of Fraud Cases Referred for Prosecution during the Period	402			
Number Cases Prosecution Refused	403			
Number Convictions Obtained	404			
Number Cases Referred for Civil Action	405			
Number Civil Actions Obtained	406			

E. AGING OF BENEFIT OVERPAYMENT ACCOUNTS			
Accounts Receivable	Line No.	Dollar Amounts	
		UI	UCFE/UCX
		(18)	(19)
90 days or less	501		
91 - 180 days	502		
181 - 270 days	503		
271 - 360 days	504		
361 - 450 days	505		
451 days or more	506		
Total Accounts Receivable	507		

Comments:

These reporting instructions have been approved under the Paperwork Reduction Act of 1995, under OMB No. 1205-0173 with an expiration date of 01/31/2007. Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection of information is estimated to average 14 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Submission is mandatory under SSA 303(a)(6). Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Workforce Security, Room S-4231, 200 Constitution Ave. NW, Washington, DC, 20210.

ETA 227 (Rev. 9/2001)